

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Small et al.  
Assignee: EKC Technology, Inc.  
Title: Catalytic Composition for Chemical-Mechanical Polishing, Method of Using Same, and Substrate Treated with Same  
Serial No.: Unknown Filed: Herewith  
Examiner: Marcheschi, Michael A. Group Art Unit: 1755 (in parent case)  
(in parent case)  
Docket No.: CHEM.004US2

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**PRELIMINARY AMENDMENT**

Sir:

Entry of this preliminary amendment, prior to examination on the merits, is respectfully requested.